

School of Dental Medicine

Guidelines for Interaction with Industry

Introduction

The following guidelines were approved by vote of Dental Senate on June 18, 2013.

These School of Dental Medicine Guidelines mirror the *ADEA Guidelines for Academia-Industry Interactions (January, 2012)* which were developed through collaboration between representatives of US dental education and the dental industry.

Compliance by the School of Dental Medicine with many of the guidelines stated herein is achieved by prevailing state, university or school-specific policies and procedures established prior to June 18, 2013; for example, *State of Connecticut Ethics Policies; UCHC Consulting, Academically-Related Activity, Research Compliance, Intellectual Property Policies; UCHC and SDM Code of Conduct.*

A number of guideline items will require additional attention by the School of Dental Medicine in order to achieve compliance. Actions or suggestions for actions required to achieve compliance are stated where applicable at the end of each category of this document; see *[Additional Actions Required beyond Policies and Processes current as of June 18, 2013]*. These actions will be pursued through a multi-year , long-range plan that will be established by the School of Dental Medicine.

Key leadership around these Guidelines will be provided by a new SDM standing administrative committee, tentatively named the *SDM Committee on Interactions with Industry*. This Committee will have a relatively broad charge and scope in interpreting the new Guidelines and providing recommendations to the Dean and School units on methods to achieve compliance.

SDM Acclamation

The SDM endorses the goals and principles described in the *ADEA Guidelines for Academia-Industry Interactions (January, 2012)*.

Category I. Educational Grants and Trainee Scholarships

The SDM will ensure that:

- *Funds are provided directly to the institution and not to faculty, staff, or students, and comply with existing state and institutional regulations, policies, and applicable laws.*
- *Grants or scholarships will not be tied in any way to past, present, or anticipated business generated with the SDM. There will be no direct measurable financial benefit to the Industry in exchange for the receipt of funding support.*
- *Grants will be awarded through a fair, open selection process based on clear criteria established by the SDM. The criteria may be set in collaboration with the Industry sponsor, but the actual selection of awardees must be independent of the Industry funding the award.*
- *The recipient of support will have a genuine, institutionally approved educational purpose, function or benefit. The recipient should use the funds for legitimate expenses that are consistent with SDM guidelines, including (if applicable) reimbursement for reasonable and moderate travel expenses. Recipients will be aware of expectations, stipulations, and responsibilities relating to any funding received for attendance at educational conferences or for grants and scholarships.*
- *A written agreement or contract between the Industry sponsor and the SDM exists as a means of creating appropriate documentation.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

The Dean will charge a new administrative committee, the SDM Committee on Interactions with Industry, to review grants and scholarships of this description active in the SDM and, going forward, to consider proposals for new awards. The Committee will describe the information and reports needed and will advise the Dean on processes that should be implemented to fulfill its charge.

Category II. Consulting and Speaking Arrangements

The SDM will ensure that:

- *Policies and procedures or protocols are followed for approving consulting and speaking arrangements and the sharing of intellectual property.*
- *A signed contract exists between Industry and the SDM professional clearly outlining and disclosing the scope of the individual's responsibilities, the duration of the arrangement, the terms of compensation, and the required documentation upon completion of the work.*
- *Documentation of a financial agreement will follow institutional policies, will be in the form of a memorandum of agreement or contract, and will fall within customary or fair market value. When all or part of the compensation agreement is in the form of equity ownership (i.e., stocks), there will be a declared value.*
- *Expenses incurred by the consultant in providing the contracted professional services will be within the Industry's travel-related expense guidelines and will be reimbursed by the Industry.*

Approved by vote of Dental Senate: June 18, 2013

- *Compensation will not be offered for “time spent” attending the conference or for passive attendance, nor for the attendance at consulting assignments or meetings by spouses, children, or guests (unless qualified in their own right).*

Additional Actions: NONE REQUIRED

Category III. Authorship and Attribution of Joint Articles, Publication and Presentations

The SDM will ensure that:

- *Professional presentations will not be “ghostwritten” by any external party for faculty, staff or students and will not cite their names and credentials for work in which they were not involved.*
- *Attribution of work by faculty, staff or students will occur only for work actually performed and will accurately reflect the person’s actual contribution.*
- *In the case of joint authorship of articles, publications, and presentations, the authors’ contribution to content and their affiliations will be clear and comply with existing institutional regulations and policy.*
- *Articles and presentations will not include actual or implied endorsement of a specific product, technology, or technique without appropriate acknowledgements, approvals, and disclosures.*
- *The SDM writer/presenter and Industry will clearly delineate a mutual agreement describing the author’s publishing rights and any other terms and indicate which party has the first right of refusal when the scholarly activity pertains to the presentation of information that he/she does not deem medically relevant, accurate, or consistent with his/her clinical/ research experiences. indicating which party has the first right of refusal when the scholarly activity pertains to the presentation of information that he/she does not deem medically relevant, accurate, or consistent with his/her clinical/ research experiences.*

Additional Actions: NONE REQUIRED

Category IV. Attendance at Industry Conferences

The SDM will ensure that:

- *Conferences will be conducted only in settings that are appropriate for the communication of information.*
- *The training staff will have the appropriate expertise to conduct the educational or training program. The presentations will be drawn from evidence-based scientific research, rather than from a specific Industry provider or manufacturer.*
- *SDM faculty, staff, and students who present at Industry conferences, if receiving sponsored travel, honoraria, or other support, will disclose that support publicly to attendees prior to their presentation.*

- *Reimbursement for travel expenses will comply with institutional regulations and policies and should be for reasonable and moderate travel, meals, and meeting registration costs. Any “gifts” offered to faculty will be appropriate for use with patients or for an educational purpose, such as textbooks, educational DVDs, or three-dimensional models. A written agreement or contract between the Industry sponsor and the SDM is encouraged as a means of creating appropriate documentation.*
- *There is no specific or implied quid pro quo for attendance at the conference. Participants’ attendance should be monitored in an appropriate fashion for reporting back to the SDM, and for compliance with American Dental Association Continuing Education Recognition Program (ADA CERP) and Academy of General Dentistry Program Approval for Continuing Education (AGD PACE) guidelines, if continuing education credit is granted.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

For staff and students, these activities are appropriately monitored and approved through the UCONN Code of Conduct. To further ensure that these principles are upheld, the SDM Committee on Interactions with Industry will review industry sponsored travel for staff and students.

Category V. Complimentary Samples and/or Educational Items

The SDM will ensure that:

- A process exists to evaluate and approve the appropriateness of complimentary product samples for distribution, as well as a mechanism to ensure compliance with institutional regulations and policy, including special knowledge of any billing restrictions or prohibitions for a complimentary product.
- No actual or implied *quid pro quo* exists when accepting or providing complimentary samples. The personal information of all recipients of product samples should be protected as per local, institutional, and federal guidelines.
- Patients receiving samples are informed that the provision of the samples does not imply endorsement of the product by the SDM or its faculty, staff, and students.

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

The Associate Dean of Students and the Associate Dean for Academic Affairs will formulate processes to ensure compliance.

Category VI. Industry Support for Educational Conferences and Meetings

The SDM will ensure that:

- *Support provided by the Industry is fully disclosed and not related to the past, present, or anticipated volume or value of purchases made by the SDM and that no quid pro quo exists for future interactions with the SDM.*
- *The Industry sponsor will not have any influence on the selection of awardees for attendance.*
- *Professionals participating on award committees and in the planning process and recipients of awards may accept honoraria and reimbursement for reasonable and moderate travel, lodging, and meal expenses consistent with SDM policy.*
- *Conferences will be independent, free of commercial bias, and beyond the control of Industry sponsors.*
- *In the SDM, all conferences and meetings must be approved by the Associate Dean of Students in advance and must have an internal faculty, staff or student sponsor. The sponsor must ensure that the conference or meeting will be attended by an appropriate faculty member or approved designate acting in the role of academic monitor.*
- *The conference event or activities including student “lunch-and-learns” will be held in an appropriate location and conducted in compliance with existing regulations and institutional policies (including travel and entertainment policies).*
- *Relevant financial relationships and expenditures will be available for public review and will be consistent with state and federal “sunshine act” laws that require reporting and disclosure of Industry “gifts” and donations.*
- *The SDM will have the responsibility and control over the selection of content, faculty, educational materials, and venues.*
- *Industry will have limited or no influence on the conference, except to ensure the scientific accuracy of the description and use of product according to regulatory guidelines and approved label specifications for that particular product.*
- *If specific products or materials are discussed, the discussion will be done in a non-biased manner and will include comparable product and materials options from which a professional chooses.*
- *Speakers will be required to disclose associations that they have with Industry. A full disclosure statement will be included, both in printed materials and at the beginning of any presentation.*
- *All educational programs will comply with the standards and criteria of a nationally recognized accrediting organization (ADA CERP or AGD PACE) as defined by the SDM.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

The internal sponsor of the meeting (i.e. faculty, staff or student) will make a request to the Associate Dean of Students for approval of the presentation during eligible hours. The Associate Dean may approve or not approve based on the quality or appropriateness of the presentation, redundancy with other presentations, frequency of presentations, perceived conflict of interest or other described criteria.

Should the Industry Sponsor desire to support the conference or meeting with provision of food or materials not directly related to educational presentation, the individual (faculty, staff or student) must request approval for this as well from the Associate Dean of Students. The Associate Dean may approve or not approve based on the particular circumstance. If approved, the following process must be followed:

- The Industry Sponsor cannot provide such materials directly nor claim sole responsibility for their provision.
- Funding for approved food or materials will be derived from a pooled fund created and named for such purpose within the UConn Foundation. Industry sponsors may make contributions to this fund but will have no influence or non-restrictive authority over such funds.
- During the conference or meeting, it may be noted that the Industry Sponsor made contributions to the pooled Foundation fund/account from which support is derived to underwrite the costs of food or materials as noted.

Companies may occasionally provide items to oral health care professionals at the SDM that benefit patients or serve a genuine educational function, so long as allowed by applicable law and institutional policies. Examples of such items include textbooks, Physicians' Desk Reference or other similar reference works, and informational brochures.

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Category VII. Social Events

The SDM will ensure that:

- *A process exists for review, acceptance, or rejection and full disclosure of Industry-offered meals, gifts, entertainment, and recreation,*
- *A process exists to ensure an absence of actual or perceived quid pro quo via sponsorship of complimentary or discounted meals, recreational events, and entertainment for social purposes*
- *A process exists for review, acceptance, or rejection and full disclosure of:*
 - Meals:*** *Meals and/or social interaction for the purpose of relationship building is acceptable, but the expense of these meals or activities should be incurred by the individual and should not be reimbursable.*
 - Gifts:*** *Industry should not provide noneducational or nonpatient-related gifts or branded promotional items to oral health care professionals. Examples of such items include pens, notepads, tote bags, electronic appliances (e.g., iPods), mugs, cookies, wine, flowers, chocolates, gift baskets or holiday gifts, cash or cash equivalents (e.g., gift certificates), or any item that can be used by the oral health care professional (or his or her family members, office staff, or friends) for noneducational or nonpatient-related purposes.*
 - Entertainment and Recreation:*** *Recreational events at academic meetings that are held in recreational areas should be clearly designed and identified as separate from the academic or clinical portion of the meeting. Any recreational expenditures should be assumed by the individual or the SDM.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

Times for industry-related social events in the SDM will be restricted to non-curriculum hours, which are defined as 6PM-8AM.

Requests for meals, gifts, entertainment, and recreation during Social Events within the School of Dental Medicine at any time must be reviewed and approved by the Associate Dean of Students. The Associate Dean may approve or not approve based on the particular circumstance. If approved, the following process must be followed:

- The Industry Sponsor cannot provide such materials directly nor claim sole responsibility for their provision.
- Funding for approved food or materials will be derived from a pooled fund created and named for such purpose within the UConn Foundation. Industry sponsors may make contributions to this fund but will have no influence or non-restrictive authority over such funds.
- During the conference or meeting, it may be noted that the Industry Sponsor made contributions to the pooled Foundation fund/account from which support is derived to underwrite the costs of food or materials as noted.

Category VIII. Site Access for Industry Representatives

The SDM will ensure that:

- *Procedures or protocols for visits from Industry officials that comply with local and institutional regulations will exist to include privacy protections for patients (Health Insurance Portability and Accountability Act [HIPAA]) and faculty and students (Family Educational Rights and Privacy Act [FERPA]); and address professional and state credentialing requirements regarding what qualifies for certified continuing education credit.*
- *Basic information about the purpose of the Industry visit and about the Industry representatives should be provided in advance to faculty, staff, and students.*
- *The ADI should designate an individual or committee to approve and announce—in advance—the planned educational experience and to assess the content and, afterwards, the value of the experience, ensuring reasonable and unbiased consistency in factors ancillary to the visit, such as time and location, scheduling, and provision of food and other services.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

The Dean's Office or the Office of the Associate Dean for Academic Affairs will approve all visits by industry representatives to the SDM, except for those occasions described in this document wherein the Associate Dean for Students approves a social or educational event.

A representative of Industry or a Faculty/Staff/Student sponsor of an Industry visit must seek permission for the visit well in advance of the visit.

Category IX. Industry-led Training and Education

The SDM will ensure that:

- *Policies and procedures that comply with local and institutional regulations exist to ensure that there is no quid pro quo for attendance at the sessions.*
- *Attendees have a mission that is valuable to the school, e.g., assessing the scientific evidence supporting the product's usefulness, safety, directions for use, and claims of results.*
- *Attendees will have a confirmed and appropriate level of training to operate devices discussed before hands-on training with the devices occurs.*
- *The meeting or session be clearly identified as commercial in nature, and those individuals leading or teaching the educational program disclose relevant financial relations to the provider and/or host.*
- *Participating attendees be monitored in some fashion for reporting back to the school and/or for receiving continuing education credit, if applicable.*
- *Industry provide only the quantity of single-use products (e.g., consumable or disposable products)— at no charge—that is reasonably necessary for the adequate evaluation of the product(s).*
- *With regard to testing or evaluation of capital equipment and other multiple use products, provision by the Industry shall not assume the transfer of title and ownership, or an implicit agreement on the part of the recipient to purchase the product. The timetable and no-charge status, as well as the intended use of the product for demonstration purposes, should be described in a written agreement between the ADI and the Industry.*
- *It is appropriate to reimburse for reasonable travel, modest meals, and expenses for training.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

The SDM Committee on Interactions with Industry will formulate a strategy in this regard. It may either: a) define criteria for approval of such events, b) designate approval authority to the Chair of the Committee or c) elect to review and approve some or all events before they occur.

Category X. All Other Industry Support or Contributions.

The SDM will ensure that:

- *Grants or donations provided by the Industry to the SDM be fully disclosed and not be related to the past, present, or anticipated volume or value of purchases made (or to be made) by the SDM and that no quid pro quo will exist for future academic interactions.*
- *When giving charitable donations or unrestricted grants for charitable or other philanthropic purposes, Industry will not have any control over how the funds are used, and all grants will comply with existing regulations and institutional policy.*

- *“Unrestricted” grants made for educational, scientific, research, or community service will be consistent with the mission of the SDM. If funds are donated for trainee scholarships, the selection of the trainee will not be influenced by the donor.*
- *Procedures and practices will exist that promote equal access and funding opportunities within the SDM for all in Industry, to include public posting of funding opportunities and of the review process and full disclosure of award announcements.*
- *A written agreement will exist between the donating Industry and the SDM to document the grant, including what was proposed, the amount requested, and the benefits to each party.*
- *Relevant financial relationships and expenditures will be available for public review and will be consistent with state and federal “sunshine act” laws that require reporting and disclosure of Industry “gifts” and donations.*

Additional Actions Required beyond Policies and Processes current as of June 18, 2013

Funding or support by Industry to the School or any of its units (e.g. Departments, Divisions Programs) or faculty/staff/students will be reviewed annually by the SDM Committee on Interactions with Industry. The Committee will advise the Dean when potential conflicts of interest or commitment are identified. The Committee will provide recommendations on continuation of any active funding, programs or relationships and will advise on future policies and guidelines to reduce the risk of such conflicts.

Q & A About the UConn School of Dental Medicine's Policy on Interactions with Industry for SDM Events

1. **Can I still invite an industry representative to my office?** Yes, you may invite an industry representative to visit you personally in your office.
2. **Can I invite a representative to give a talk to residents or to faculty?** Yes, but with certain limitations. Ideally a committee like the ADGE Committee should review your annual plans for such invitations before they occur and advise on how many or what type of presentation should occur. The primary concern should be that the presentation is educational and evidence-based and devoid of marketing of a particular product as much as possible. Until the ADGE Committee becomes active in this annual review for divisions and programs, the Division Chair or Program Director should act as a prudent monitor of these invitations for industry representative presentations.
3. **Can an industry representative provide food or samples at their presentation?** No. Samples should not be directly distributed to residents and faculty. The representative may discuss a formal donation of samples to the clinics with the Associate Dean for Academic Affairs. In terms of food, the answer is no. Representative are not permitted to provide food directly to residents or faculty.
4. **Is there any other way for an industry representative to support food or beverages at an internal function?** Yes. A UConn Foundation fund called the *Industry Fund for Dental Resident Education* has been established. Industries can contribute funds to this account and can ask that funds be directed to a specific department, division or program, but the donor cannot specify how the funds are to be specifically used. You may direct potential donors to the Associate Dean for Academic Affairs.
5. **How does this fund work?** Before you can use any money in the Fund, a donor from industry must contribute to it. Again, the donor cannot dictate the specific use of dollars donated other than for the general purposes of dental resident education. Funds may be requested by faculty to support a specific educational activity. Requests for food and beverage are limited to \$10 per attendee. Because funds may be limited and are to be shared across departments, programs and divisions, directors are encouraged to carefully plan their activities prior to the start of the academic year; last minute requests are discouraged.
6. **If food and beverage is purchased from this Fund, can we mention the sponsor directly?** Yes, but only in a general manner. The language must include a statement that the activity was supported by the Fund and which Industry sponsors have donated to the Fund in the prior 12 months. For example, "This activity is supported by the *UConn Foundation Industry Fund for Dental Resident Education*, which is supported in part by Henry Schein and Stryker." Any publicity (e.g. emails, flyers, written acknowledgements at the events) must comply with this language.
7. **Who will monitor compliance with the new policies?** For resident education, the ADGE Committee will begin to do this soon; it will be asked to conduct an annual review of industry presentations using reports submitted by each program and it may also be asked to approve a general plan for the next or upcoming year. On a school basis, the Committee for SDM Industry Interactions will continually address compliance-related questions.
8. **Can our department, division or program use funds donated to the Industry Fund to support external dinners, luncheons or social events for residents or faculty?** Only to a very limited degree. Appropriate events might be awards dinners or graduation dinners. In all such cases, industry representatives are not to be present. During the event, support from the Fund can again be mentioned along with partial support from a particular sponsor or representative but that should be the extent of industry reference or involvement. Dinners, lunches or other external events for the purpose of marketing of a product are not permissible under this new policy. When the focus is primarily educational, the event should be scheduled internally under the prevailing school policies.
9. **What are the procedures for holding an industry-related event?** All School events that interact with industry must: A) Be pre-approved using the School's approval request form which must be submitted at least 4 weeks prior to the event and B) Complete the Post-Event report which provides the opportunity to both evaluate the event and report on compliance with guidelines. This form should be submitted within 4 weeks of the event. Forms should be submitted to Dr. Ajay Dhingra via email at adhingra@uchc.edu. All forms can be found on the [UConn School of Dental Medicine Governance and Administration](#) website. Failure to obtain appropriate approval or to submit application and report forms may result in denial of approval of any and all future requests.

UConn School of Dental Medicine Industry Policy Application Form

[Submit to Dr. Ajay Dhingra adhingra@uchc.edu]

Applicant: _____ Date: _____

Title of Event: _____ Date of Event: _____

Time of Event: _____

SDM Organization or Division Sponsoring Event: _____

Target Audience: _____

Purpose of Event [Goal/Objective]: _____

Presenter(s): _____

Facility Space Requested: _____

Will food be served? Yes No

Source of Funding: _____

Approval of Division Chair or SDM Organization Advisor/Program Director _____

Will any industry be represented at this function? Yes No [see below]

Name of Industry _____

Name of Industry Representative _____

Name of SDM Faculty Member that will ensure Academic Industry Policy Compliance

Industry Representative has received a handout on the SDM academic Industry Policy Yes No

Reviewed by Associate Dean for Students: _____ Date: _____

Approved _____ Not Approved _____

Deferred _____ Additional Information Required

Information for Industry Representatives for Interactions with UConn School of Dental Medicine Faculty, Staff, Residents and Students

We are providing this information so that all interactions between Industry Representatives and faculty, staff, residents and students adhere to the policies and guidelines established by the UConn School of Dental Medicine (SDM).

1. Access to the UConn SDM for Industry Representatives for Social or Educational Events

- Industry Representatives are prohibited from directly contacting students, staff, or residents. The Industry Representative must contact, and may only contact, a faculty member.
- A faculty/staff/student sponsor of an Industry visit must seek permission for the Industry visit well in advance (at least 4 weeks) of the visit. (Only in unique situations will written permission be granted with less than 4 weeks advance request.) Permission may be sought from the Associate Dean of Students, or, if that person is not available, from the Associate Dean for Academic Affairs, by faculty/staff/student sponsors, by completing a written request, available at [Industry Event Application](#).
- Visits from Industry officials must comply with local and institutional regulations including privacy protections for patients (Health Insurance Portability and Accountability Act [HIPAA]) and faculty and students (Family Educational Rights and Privacy Act [FERPA]) and address professional and state credentialing requirements regarding what qualifies for certified continuing education credit.

2. Site Access to the UConn SDM for Industry Representatives for All Other Purposes

- For all access not related to social or educational events, Industry Representative are prohibited from directly contacting students, staff, residents, or faculty. The Industry Representative must contact, and may only contact, the Associate Dean for Academic Affairs at (860) 679-2672.

3. Complimentary Samples and/or Educational Items

- No actual or implied *quid pro quo* exists when the UConn SDM accepts complimentary samples.
- Donation of samples to individual recipients are prohibited. Donation of samples to the SDM are allowed. To seek permission to provide samples, contact the Associate Dean for Academic Affairs at (860) 679-2672.
- The SDM will have responsibility and control over the distribution of the samples. Industry will not have any control over how the samples are used.
- The personal information of all recipients of product samples are protected as per local, institutional, and federal guidelines.
- Patients receiving samples are informed that the provision of the samples does not imply endorsement of the product by the SDM or its faculty, staff, and students.

4. Support for Educational Conferences

- Support for educational conference is allowed only if the conference is independent, free of commercial bias, and beyond the control of Industry Sponsors.
- Industry must have limited or no influence on the conference, except to ensure the scientific accuracy of the description and use of product according to regulatory guidelines and approved label specifications for that particular product.
- The industry sponsor will not have any influence on the selection of UConn SDM attendees. The SDM retains all authority for the selection of UConn SDM attendees. The SDM retains all authority for the selection of attendees.
- UConn SDM professionals participating on award committees and in the planning process and recipients of awards may accept honoraria and reimbursement for reasonable and moderate travel, lodging, and meal expenses consistent with SDM and state policies.

5. Support for Educational Meetings

- Support provided by Industry for educational meetings must be fully disclosed and there will be no actual or implied *quid pro quo* for interactions with the UConn SDM.
- The SDM will have responsibility and control over the selection of content, faculty, educational materials and venues.

UConn SDM Handout for Industry Representatives

- If specific products or materials are discussed, the discussion will be done in a non-biased manner. A faculty member must be present to ensure that the discussion will include comparable product and materials options from which a professional chooses.
- The Industry Sponsor cannot provide food or materials not directly related to the educational presentation nor claim sole responsibility for their provision.
- Funding for approved food or materials must be derived from a pooled fund within the UConn Foundation. Industry may make contributions to this fund but the SDM will have responsibility and control over such funds. To contribute to this fund, contact the SDM Financial Officer at (860) 679-4065.
- During the conference or meeting, it may be noted that the Industry Sponsor made contributions to the pooled Foundation fund/account from which support is derived to underwrite the costs of food or materials as noted.
- Non-educational or non-patient-related gifts or branded promotional items will not be accepted by UConn SDM faculty, staff, residents, or students.

6. Industry-led Training and Education

- The meeting or session must be clearly identified as commercial in nature, and those individuals leading or teaching the educational program will be required to disclose relevant financial relations to the provider and/or host.
- UConn SDM will accept from industry only the quantity of single-use products (e.g., consumable or disposable products) – at no charge – that is reasonably necessary for the adequate evaluation of the product(s).
- With regard to testing or evaluation of capital equipment and other multiple use products, provision by Industry shall not assume the transfer of title and ownership, or an implicit agreement on the part of the recipient to purchase the product. The timetable and no-charge status, as well as the intended use of the product for demonstration purposes, should be described in a written agreement between the SDM and the Industry.

7. Educational Grants and Trainee Scholarships

- Industry is prohibited from providing grant or scholarship funds directly to faculty, staff, or students. Funds will be provided directly to UConn SDM by means of a written agreement or contract.
- The funds will not imply a real or perceived quid pro quo.
- Funds will be awarded through a fair, open selection process established by the SDM.
- The recipient will have a genuine, institutionally approved educational purpose.

8. Other Industry Support or Contributions

- When giving charitable donations or unrestricted grants for charitable or other philanthropic purposes, Industry will not have any control over how the funds are used, and all grants will comply with existing regulations and institutional policy.

9. Details regarding Consulting and Speaking Arrangements, and Authorship and Attribution of Joint Articles, Publications, and Presentations can be found in the *SDM Policy for Interactions with Industry*.

This document is provided for informational purposes only. It is not intended to replace or supersede the UConn SDM Policy for Interactions with Industry, which can be found in its entirety at [Guidelines for Interaction with Industry](#).

UConn School of Dental Medicine Post-Event Report for an Industry-Related Event

[Submit form to Dr. Ajay Dhingra at adhingra@uchc.edu]

Name of Faculty Sponsor:

Title of Event:

Date of Event:

Place of Event:

Please circle the statement that most closely reflects your opinion.

1.) The information provided was appropriate for the stated purpose of the event.

Strongly Agree Agree Neutral Disagree Strongly Disagree

2.) The level of the presentation was appropriate for the intended audience.

Strongly Agree Agree Neutral Disagree Strongly Disagree

3.) The presentation was unbiased and conclusions were supported by scientific evidence.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Please answer the following questions:

1.) To your knowledge, were any of the assurances in the pre-approval application **NOT** met?

If yes, please explain.

Yes No

2.) To your knowledge, was there any other **NON-COMPLIANCE** with the SDM policy on industry interactions?

If yes, please explain.

Yes No

Please add any additional comments you would like to make.

Post-Event Evaluation of Industry Sponsored Activities

Title of event:

Date of event:

UConn SDM faculty sponsor of the event:

Please circle the statement that most closely reflects your opinion.

1) The information provided was appropriate for the event's stated purpose.

Strongly Agree Agree Neutral Disagree Strongly Disagree

2) The level of the presentation was appropriate for the intended audience.

Strongly Agree Agree Neutral Disagree Strongly Disagree

3) The presentation was well organized and was completed within the allotted time.

Strongly Agree Agree Neutral Disagree Strongly Disagree

4) The presentation was unbiased, and conclusions were supported by scientific evidence.

Strongly Agree Agree Neutral Disagree Strongly Disagree

Please add any additional comments you would like to make.

***Faculty sponsor must submit these forms to Dr. Ajay Dhingra via email at adhingra@uchc.edu.*